

IN THE UNITED STATES PATENT AND TRADEMPRK OFFICE 1 2 2001

TECHNOLOGY CENTER R3700

In Re: Application of	
William E. Baumzweiger	and
Kathleen L. Hannan	-

Art Unit:

6 | Serial No.:

Examiner:

7

5

Filed:

8

For: Brainstem and Limbic Disorder (BALD)

10

11

13

14

15

17

18

19

20

21

22

23

24

25

26

27

28

9

Commissioner Of Patents And Trademarks

12 Washington, DC, 20231

DECLARATION IS SUPPORT OF APPLICANT'S

PETITION TO MAKE APPLICATION RELATING TO

BIOTECHNOLOGY SPECIAL

16 | Sir:

- I, William E Baumzweiger, MD, declare as follows:
- 1) I am one of the inventors of the above described patent application and make this declaration in support of the petition to make special the above-identified patent application.
- 2) A verified statement claiming small entity status pursuant to 37 C.F.R. 1.9 and 1.27 is filed herewith.
- 3) The subject matter of the above identified patent application is a major asset of myself and co-inventor Kathleen L Hannon, MD.
- 4) My medical practice is devoted in large extent to diagnosis and treatment of the so called "BALD" syndrome. This is a new area for medical specialization and practice in need of

OEC 10 2007 STRADFRIE S

RECEIVED

significant new research and scientific investigation

- 5) The research needed and further determination from subject matter of the invention will be significantly impaired if the examination of the patent application is delayed.
- 6) In order to justify the substantial expenditures that may be involved in the further research and scientific investigation of the subject matter of the present invention it is imperative that we inventors be apprized, as early as possible, of the patentability of the invention.
- 7) Additionally seeking a patent for the present invention involves a new method of publication of a newly discovered medical diagnosis and treatment protocol with the view to publication of the invention as effectively as possible.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the foregoing statements.

DATED 11/06/01

William E. Baumzweiger, MD

2 3

1

4

5 6

7

8 9

10

11 12

13

14 15

Sir:

16

17

18 19

20 21

22

23

24 25

26 27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Application of William E. Baumzwei Kathleen L. Hannan

Serial No.:

Filed:

For: Brainstem and Limbia Disorder (BALD)

Art Unit:

Examiner:

ECENEL 2007
FORMOLOGY CENTERS

Commissioner Of Patents And Trademarks

Washington, DC, 20231

DECLARATION FOR UTILITY PATENT APPLICATION

AND POWER OF ATTORNEY

DECLARATION

As a below-named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below next to my name and that I believe that we are the original, first, and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention, the specification of which is attached hereto and which has the following title:

Brainstem and Limbic Disorder (BALD)

I have reviewed and understand the contents of the aboveidentified specification, including the claims. I acknowledge a duty to disclose information which is material to the examination of this application in accordance with Title 37, Code Of Federal

3

4

5

6

7

8

9

Regulations, Section 1.56(a)

TOMOGRAPH TO MY I hereby declare that all statements made here: knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the foregoing statements.

10 DATED 11/01/0)

Baumzweiger

11

Residence & Citizenship: Studio City, California, 91804 Citizen of USA

13 Post Office Address:

11357 Hendley Drive

Studio City, CA, 91604

14

15

16

12

DATED

/Kathleen L. Hannan

Residence & Citizenship: Orlando, Florida, 32837 Citizen of USA

17

18

Post Office Address:

14332 Cheverleigh Drive

Orlando, FL, 32837

19

POWER OF ATTORNEY

20 21

As named inventor, I hereby appoint the following attorney to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

22 23

Nathan Boatner 24 Registration No 32856

25

Please Address All Communications To:

26

Nathan Boatner, Attorney PMB 692, 7095 Hollywood Blvd,

27

Los Angeles, CA, 90028 (213) 840-8286

DEC 1 0 2001 PADEMARK OF

Respectfully Submitted.

DATED:

DATED:

n/06/01

PECEIVE DEC 1 IN THE UNITED STATES PATENT AND THAT OF CENTER 2

Examiner:

4 5

6

7

8

9

10

11

12 13

14

15

16

27

28

In Re: Application of Art Unit:

William E. Baumzweiger and

Kathleen L. Hannan

Serial No.:

Filed:

For: Brainstem and Limbic Disorder (BALD)

Commissioner Of Patents And Trademarks

Washington, DC, 20231

SMALL ENTITY DECLARATION

Sir:

As the below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code to the Patent and Trademark Office with regard to my above-identified invention described in the specification filed herewith. I have not assigned, granted, conveyed, or licensed--and am under no obligation under any contract or law to assign, grant, convey, or license--any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or (b) any concern which would not qualify as either (i) a small business concern under 37 CFR 1.9(d) or (ii) a nonprofit organization under 37 CFR 1.9(e).

WT& TRADEMA

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

I acknowledge a duty to file, in the above p_{p_p} patent, notification of any change in status res entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the foregoing statements.

DATED

11/06/01 DATED